



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

3

### Complete if Known

Application Number	09/897,174
Filing Date	June 29, 2001
First Named Inventor	Jian Li
Art Unit	2826
Examiner Name	Thomas L. Dickey
Attorney Docket Number	42390P10047

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No <sup>2</sup>	Document Number Number - Kind Code <sup>3</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TD	1	US-2002-0093845 A1	07-18-2002	Matsuoka et al.	
TD	2	US-2002-0024835 A1	02-28-2002	Thomson et al.	
TD	3	US-2002-0000005 A1	01-03-2002	Jermier	
TD	4	US-2002-0044480 A1	04-18-2003	Gudesen et al.	
TD	5	US-6,072,718	06-06-2000	Abraham et al.	
TD	6	US-5,927,206	07-27-1999	Bacon et al.	
TD	7	US-6,269,018	07-31-2001	Monsma et al.	
TD	8	US-3,623,038	11-23-1971	Franklin et al.	
TD	9	US-4,593,456	06-10-1986	Cheung	
TD	10	US-6,314,641 B1	11-13-2001	Akram	
TD	11	US-5,939,775	08-17-1999	Bucci et al.	
TD	12	US-5,843,808	12-01-1998	Karnczos	
TD	13	US-6,324,069 B1	12-27-2001	Weber	
TD	14	US-5,592,643	07-07-1997	Thomas	
TD	15	US-6,210,979	04-03-2001	Kweon et al.	
TD	16	US-6,337,032	01-08-2002	Chivukula et al.	
TD	17	US-6,420,190	07-16-2002	Shimoda et al.	
TD	18	US-5,248,564	09-28-1993	Ramesh	
TD	19	US-5,438,023	08-01-1995	Argos et al.	
TD	20	US-5,519,566	05-21-1996	Perino et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No <sup>2</sup>	Foreign Patent Document Country Code <sup>4</sup> - Number - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TD	21	JP 363 293 729 A	11-30-1988	Yamaguchi et al.	

Examiner  
Signature

Date  
Considered

11/10/03

<sup>1</sup>Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPFF 301.04. Enter Office that issued the document, by the two letter code (WIPO Standard ST 3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 08/11/2003

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 3

### Complete If Known

Application Number	09/897,174
Filing Date	June 29, 2001
First Named Inventor	Jian Li
Art Unit	2826
Examiner Name	Thomas L. Dickey
Attorney Docket Number	42390P10047

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials\* Cite No.\* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

70 22 IBM Technical Disclosure Bulletin, Vol. 37 (11), pages 421-424, 1994

Examiner  
Signature

Date  
Considered

11/20/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

\*Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/089 (08-02) as modified by Blakely, Solukoff, Taylor & Zalman (W) 08/11/2003  
Send To: Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

### Complete if Known

Application Number 09/897,174  
Filing Date June 29, 2001  
First Named Inventor Jian Li  
Art Unit 2826  
Examiner Name Thomas L. Dickey  
Attorney Docket Number 42390P10047

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TD	23	US-5,578,867	11-26-1996	Argos et al.	
TD	24	US-5,777,356	07-07-1998	Dhote et al.	
TD	25	US-5,838,035	11-17-1998	Ramesh	
JW	26	US-5,864,932	02-02-1999	Evans et al.	
TD	27	US-6,054,331	04-25-2000	Woo et al.	
JW	28	US-6,115,281	09-05-2000	Aggarwal et al.	
JW	29	US-6,171,934	01-09-2001	Joshi et al.	
JW	30	US-6,265,230	07-24-2001	Aggarwal et al.	
JW	31	US-6,323,512	11-27-2001	Noh et al.	
JW	32	US-6,376,259	04-23-2002	Chu et al.	
JW	33	US-4,873,455	10-10-1989	De Chambost et al.	
TD	34	US-5,499,206	03-12-1996	Muto	
JW	35	US-6,072,716	06-06-2000	Jacobson et al.	
TD	36	US-6,194,229	02-27-2001	Basceri	
TD	37	US-4,538,884	09-03-1985	Masaki	
		US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code <sup>5</sup> - Number - Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner  
Signature

Date  
Considered

11/20/05

<sup>4</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>5</sup>Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>6</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S1.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zalman (w/r) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450